Print Form

REPORT OF PRINCIPAL AMOUNT OF LOANS ORIGINATED AND AGGREGATE AMOUNT OF LOANS SERVICED FOR THE 12 MONTH PERIOD ENDED DECEMBER 31, 2011

RETURN TO:

DiAun M. Burns, Special Administrator
Department of Corporations
320 West 4th Street, Suite 750
Los Angeles, California 90013

NOTE: This report must be submitted no later than March 1, 2012 to enable the Commissioner to prepare assessments in accordance with Section 50307 and 50401 of the California Residential Mortgage Lending Act.

Department of Corporations File Number: _____

Name of Licensee: _____

Address of Licensee: _____

_____

Website Address, if any: _____

Person to contact for the purpose of arranging regulatory examinations:

Name: _____

Address: _____

_____

Phone: _____

I certify/declare under penalty of perjury that the foregoing information and the attached report are true and correct.

Executed at: _____
(City) (State)

this _____ day of _____, 20_____

_____
(Signature of Declarant)

_____
(Please Print Name)

_____
(Title)

NOTE: ***This verification may be made either before a Notary Public or as a declaration under penalty of perjury. This form MUST be executed before a notary public if it is executed OUTSIDE the State of California.***

NAME_____ FILE NUMBER_____

Please list the number and principal amount of **California** loans processed and underwritten. [Section 50129(a)(2)(FC)] Please list the number and principal amount of **California** loans originated each month. [Section 50401(a)(FC)] Please list the number and the aggregate principal amount of residential mortgage loans closed in which the licensee provided brokerage services pursuant to the California Residential Mortgage Lending Act. Please list the total aggregate amount of all **California** loans serviced calculated as of the last day of each month for the calendar year 2011. [Section 50401(a)(FC)] **Please review the instructions before completing this report.**

	# of **CA** Loans Processed and Underwritten	Principal Amount of **CA** Loans Processed and Underwritten	# of **CA** Loans Originated	Principal Amount of **CA** Loans Originated	# of **CA** Loans Brokered	Principal Amount of **CA** Loans Brokered	Aggregate Amount of **CA** Loans Serviced
January							
February							
March							
April							
May							
June							
July							
August							
September							
October							
November							
December							
Total							

NAME _____FILE NUMBER _____

NONTRADITIONAL, ADJUSTABLE RATE AND MORTGAGE LOAN PRODUCTS

1. During calendar year 2011 have you made or arranged nontraditional mortgage products or adjustable rate mortgage products as defined by the Guidance?

   a. Made nontraditional mortgage products? Yes _____ No _____
   b. Arranged nontraditional mortgage products? Yes _____ No _____
   c. Made adjustable rate mortgage products? Yes _____ No _____
   d. Arranged adjustable rate mortgage products? Yes _____ No _____

   If your answer is no to all of the above, STOP.
   If your answer to any of the above is yes, please complete the following:

2. Please explain on a separate page how you have implemented best practices and explain whether and how you have put into effect the following internal controls or procedures during the reporting period: adopted processes, policies and procedures to ensure compliance with the Guidance; designated a compliance officer (including contact information of that officer) to ensure compliance with the Guidance; implemented a consumer complaint process to resolve consumer complaints involving loans covered by the Guidance and educated employees and agents to help them understand how to apply the best practices.

   Name, address, phone number and e-mail address of the designated compliance officer to ensure compliance with the Guidance:

   Name: _____

   Address: _____

   _____

   Phone: _____

   E-mail: _____

3. Consumer complaint information involving non-traditional and adjustable rate mortgage products covered by the Guidance during the reporting period:

   # of consumer complaints received _____
   # of resolved complaints _____
   # of unresolved complaints _____
   # of workout arrangements used for resolved complaints _____

   (Workout arrangement shall mean a modified or converted loan product with predictable payment requirements to help the financially-stressed borrower.)